

Annex A

Case ref	City or Parish	Complainant	Date Received	Nature of Complaint	Status	Updates
739	Parish	Parish Councillor	15/11/19	Allegations that the subject member behaved aggressively towards the complainant.	External investigating officer has now been appointed.	<p>The complaint is on hold pending the outcome of investigations into the conduct by another party. The 3rd party investigation is now complete, therefore, the Code of Conduct complaint can now proceed. Parties to be notified.</p> <p>Assessment Sub Committee to be formed.</p> <p>Sub-Committee determined that investigation is required – sourcing an external investigating officer.</p> <p>External investigating officer has now been appointed.</p>
740	Parish	Parish Councillor	13/11/19	Allegations that the subject member behaved aggressively towards a Clerk.	External investigating officer has now been appointed.	<p>The complaint is on hold pending the outcome of investigations into the conduct by another party. The 3rd party investigation is now complete, therefore, the Code of Conduct complaint can now proceed. Parties to be notified.</p> <p>Assessment Sub Committee to be formed.</p> <p>Sub-Committee determined that investigation is required – sourcing an external investigating officer.</p>

						External investigating officer has now been appointed.
741	Parish	Parish Councillor	15/11/19	Allegations that the subject member verbally attacked the Chair and the Clerk and sent threatening emails.	External investigating officer has now been appointed.	<p>The complaint is on hold pending the outcome of investigations into the conduct by another party. The 3rd party investigation is now complete, therefore, the Code of Conduct complaint can now proceed. Parties to be notified.</p> <p>Assessment Sub Committee to be formed.</p> <p>Sub-Committee determined that investigation is required – sourcing an external investigating officer.</p> <p>External investigating officer has now been appointed.</p>
2020/04	Parish	Parish Councillor	08/07/20	The complainant has put in 3 complaints about the behaviour of another Parish Councillor towards them.	Closed	<p>The case has been passed to an investigating officer to investigate.</p> <p>Parties have been interviewed and draft report is currently being finalised.</p> <p>Draft report now sent to the parties, they have until 19.03.21 to respond. Complainant has responded, awaiting comments from Subject PC.</p>

						<p>No comments received so draft report passed to MO.</p> <p>Draft report passed to Monitoring Officer who agrees with conclusion in that the complaint is not upheld. Parties contacted on 7 April 2021 and notified of outcome. Closed.</p>
2020/10	City	Residents	12/07/20 - 24/07/20	Numerous complaints were received with regards to the Councillor's comments and behaviour on social media.	Sub-Committee determined that further investigation is required – passed back to investigating officer.	<p>This complaint is currently being investigated.</p> <p>Draft report now finalised and sent to subject Councillor for comment.</p> <p>Comments received, sub committee to be reconvened to review findings.</p> <p>Sub-Committee determined that further investigation is required – passed back to investigating officer.</p>
2020/12	CYC	Resident	11/09/20 / 16/09/20 (officially)	The complaint is in relation to the resident's planning application. The complainant claims the Councillor supported a council officer rather than providing a fair and neutral opinion as a Councillor and was inextricably linked to personal interests in relation to a committee meeting.	This complaint is currently being investigated.	<p>The subject matter of this complaint has been investigated by a 3rd party, that has now concluded, therefore, this can now proceed.</p> <p>Investigation ongoing.</p>
2020/13	CYC	Parish	05/11/20	The complaint is in relation to an email sent by the Councillor – the	MO considering local resolution	This complaint has been passed to an investigating officer.

				complainant believes this is an offensive email in breach of the code of conduct.		<p>Draft report prepared and to be sent to parties w/c 15.03.21 for comment.</p> <p>Draft report finalised and breach found. MO assessing whether local resolution is suitable.</p> <p>Breach to be dealt with through local resolution – parties informed.</p>
2020/14	Parish	Resident	18/11/20	The complainant would like a Parish Councillor investigated as personal animosity has been preventing the PC to serve the parishioners.	Breach found, being referred for hearing.	<p>This complaint has been assessed and is due to be allocated to an investigating officer.</p> <p>Draft report to be distributed to parties w/c 15.03.21.</p> <p>Parties have responded, draft report to be passed to MO for consideration.</p> <p>Referred for hearing, checklist sent out to parties.</p>
2020/15	Parish	Parish	18/11/20	The complainant claims a Parish Councillor does not abide by the Code of Conduct in his behaviour towards the PC or to the residents that he should represent. The complainant states it is bullying and harassment.	Closed	<p>This complaint has been assessed and is due to be allocated to an investigating officer.</p> <p>Draft report to be distributed to parties w/c 15.03.21.</p>

						<p>Parties have responded, draft report to be passed to MO for consideration.</p> <p>Draft report passed to MO who agrees with the conclusion in that the complaint is not upheld and no breach was found. Parties contacted on 22 April 2021 and notified of outcome. Closed.</p>
2020/17	Parish	Parish	01/12/20	The complainant claims the Councillor had an emotional outburst at an online parish council meeting which is claimed to have publicly impugned the integrity of the complainant.	Investigation now completed, breach in part found. With MO to determine next steps.	<p>This complaint has been assessed and is due to be allocated to an investigating officer.</p> <p>Investigation ongoing.</p> <p>Meeting arranged with Investigating Officer on 20 April 2021 when she returns from leave. Update will be provided then.</p> <p>Investigation now completed, breach in part found. With MO to determine next steps.</p>
2020/18	CYC	CYC	08/12/20	The complainant claims the Councillor's attitude was unprofessional, undermining and intimidating, both to the complainant and other members of the committee during a meeting.	Closed.	<p>This complaint is currently under investigation.</p> <p>Draft report sent to parties, comments now received.</p>

						<p>Complainant would like their opportunity to raise concerns around the investigation.</p> <p>Complaints procedure considered, but no mechanism for this. Draft report considered by MO and findings accepted, parties notified. Closed.</p>
2020/19	Parish	Parish	10/12/20	The complainant claims the Councillor was discriminatory against them in relation to co-option and at a public meeting.	Investigating officer found no breach found. MO has reviewed the draft report and upholds the investigating officer's findings. Closed.	<p>This complaint has been assessed and is due to be allocated to an investigating officer.</p> <p>Investigation ongoing.</p> <p>Meeting arranged with Investigating Officer on 20 April 2021 when she returns from leave. Update will be provided then.</p> <p>Investigating officer found no breach found. MO has reviewed the draft report and upholds the investigating officer's findings. Closed.</p>
2020/20	CYC	CYC	13/12/20	The complainant claims the councillors breached the code of conduct. It is alleged the councillors made potentially libellous allegations against the complainant and others, and it is alleged they have set out a series of untruths about City of York	This complaint is currently being investigated.	<p>Assessment can now be completed as IP view now received.</p> <p>Investigation ongoing.</p>

				Council within a newsletter sent out.		
2021/01	CYC	York Residents	03/01/21	The complainant alleges the Cllr chose to be “aggressive, narrow minded and discriminative” against him and his business, “rather than take a pragmatic, reasonable approach to this incident”.	Breach found, MO upholds the finding. Local resolution offered but not accepted. Hearing to be arranged.	<p>Assessment of complaint currently taking place.</p> <p>Passed for investigation.</p> <p>Draft report sent to parties, asked for comments by 19.03.21.</p> <p>No comments so passed draft report to MO.</p> <p>Breach found, MO upholds the finding. Local resolution offered but not accepted. Hearing to be arranged.</p>
2021/03	Parish	Parish	29/01/21	The complainant has put in a formal complaint with regards to the councillor’s behaviour. The complainant has requested an old complaint be revisited.	No breach found. Decision of investigating officer upheld by MO. Closed.	<p>Investigation ongoing.</p> <p>Meeting arranged with Investigating Officer on 20 April 2021 when she returns from leave. Update will be provided then.</p> <p>No breach found. Decision of investigating officer upheld by MO. Closed.</p>
2021/04	CYC	CYC	04/03/21	During a Council Planning Meeting, the Councillor publicly criticised Planning Officers in a disrespectful manner in a clear breach of Member Standards.	Closed	<p>Currently being assessed, awaiting IP view.</p> <p>IP chased but no response yet.</p>

						Complaint closed. Complaint appears to be politically motivated and in addition, as there is another route for this complaint to be dealt with, in accordance with the protocol on Officer/Member relations, it is not felt that the public interest would be served by progressing this matter further.
2021/05	CYC	York resident	11/03/21	The complainant alleges that the Cllr portrayed a biased view of a scheme during a Planning Committee Meeting, as well as attempting to influence a Planning Officer.	Closed.	<p>Currently being assessed, IP view now received, with MO for determination.</p> <p>The complaint was assessed and IP view obtained. Complaint not progressing. Reason: whilst the complainant and the Councillor do not share the same views on the merits of the application, this does not justify a Code of Conduct complaint progressing any further.</p>
2021/06	Parish Councillor	Parish Councillor	11/02/20	The complainant alleges that the Cllr behaved in an intimidatory and bullying manner.	External investigating officer has now been appointed.	<p>This complaint is now being looked into as the criminal proceedings have now concluded (in 2021).</p> <p>The 3rd party investigation is now complete, therefore, the Code of Conduct complaint can now proceed. Parties to be notified.</p> <p>Assessment Sub Committee to be formed.</p>

						External investigating officer has now been appointed.
2021/07	Parish Councillor	York Resident	20/03/21	The complaint alleges the Parish Council did not allow residents to join a virtual PC meeting as the meeting time was changed without making residents aware.	Closed.	IP views being sought. The complaint was assessed and IP view obtained. Complaint not progressing. Reason: complaint does not fall within remit of Standards/Code of Conduct issue and does not raise issues in relation to a particular Parish Councillor, suggested complainant raises the issue with the Parish Council Clerk.
2021/08	Parish Councillor	Parish Councillor	06/04/21	This is an additional complaint in respect of the Parish Council meeting in February 2021 in which the Chairman and to some extent the Council have acted "unlawfully" in respect to actions taken at the meeting.	This complaint is currently being investigated.	Matter currently under investigation.
2021/09	Parish Councillor	York Resident	06/04/21	This complaint is in addition to 2020/19. This complaint pertains to the actions taken during the February 2021 Parish Council meeting in which the complainant feels further adds to the issues raised in the original complaint in respect to the conduct of the councillor.	This complaint is currently being investigated.	Matter currently under investigation.
2021/10	CYC	York resident	17/06/2021	The complaint is in relation to a Tweet sent by the Cllr online. The complainant implies the Cllr has a	Closed	Currently being assessed by MO.

				prejudicial interest against taxis and does not see how the Cllr can continue as an Executive Member or remain on a Committee when it is dealing with issues related to taxi licensing.		Closed - the reason for this decision is that the contents of the complaint are not covered by the Code, and after reviewing the Bio on the Cllrs Twitter account, it has been made clear that statements are made in a personal capacity. Code of Conduct complaints must relate to conduct in the course of acting as a Councillor.
2021/11	Parish	Parish	23/06/2021	The complaint relates to allegations of threats and false statements amongst Parish Councillors.	This complaint is currently being assessed.	Currently being assessed by MO.